



**Hilltop Securities Inc. and/or Broker/Dealers for which it clears**  
 Hilltop Securities Inc. Member NYSE/FINRA/SIPC

## Coverdell ESA Distribution Form

### 1. General Information

HTS Account Number: \_\_\_\_\_  
 Designated Beneficiary: \_\_\_\_\_ SSN: \_\_\_\_\_ Birthdate: \_\_\_\_\_  
 Responsible Individual: \_\_\_\_\_ SSN: \_\_\_\_\_  
 Residence Address: \_\_\_\_\_ Phone: \_\_\_\_\_  
**For death distributions, complete the following:**  
 Death Beneficiary's Name: \_\_\_\_\_ SSN: \_\_\_\_\_ Birthdate: \_\_\_\_\_  
 Residence Address: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Relationship to Deceased: \_\_\_\_\_

### 2. Distribution Reason

**Distributions for Qualified Education Expenses:**

a. Distributions from this account are being used for qualified education expenses of the designated beneficiary.

**Distributions Not Used for Education Expenses:**

- a. This distribution is not being used for qualified education expenses and none of the other reasons below apply.
- b. Permanent Disability of the designated beneficiary (within the meaning of section 72(m)(7) of the Internal Revenue Code)
- c. Death (You are the representative of the Designated Beneficiary's estate and can furnish a certified copy of the Death Certificate)
- d. Removal of Excess Contribution plus earnings before deadline. In which tax year was the contribution made? \_\_\_\_\_  
 Is the contribution plus earnings being removed in the same year?  Yes  No (Attach the earnings worksheet.)
- e. Transfer, including Transfer Incident To Divorce or legal separation. Payable to: \_\_\_\_\_
- f. This Coverdell ESA is being rolled over or transferred to another Coverdell ESA for the following family member: \_\_\_\_\_

### 3. Distribution Type. (Check ONE)

A. Distribute my entire account and close my account. (Note: There is a \$25 closing fee.)

B. Distribute cash from my account:

Gross Amount: \$ \_\_\_\_\_

C. Distribute the following securities:

| Asset Description | Quantity | Asset Description | Quantity |
|-------------------|----------|-------------------|----------|
|                   |          |                   |          |
|                   |          |                   |          |

### 4. Distribution Method. (Check ONE)

- 1. Check
- 2. Transfer to HTS account #: \_\_\_\_\_
- 3. Stock Certificate
- 4. ACH (Must also complete the ACH Form on back)
- 5. Wire (Fill in the following.)  
 Bank name: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_  
 Routing #: \_\_\_\_\_ Account #: \_\_\_\_\_

### 5. Signatures.

I certify that I am the proper party to direct payment(s) from this Coverdell Education Savings Account, and that all information provided by me is true and accurate. I understand that distributions from this Coverdell Education Savings Account are reported to the IRS. I further certify that no tax advice has been given to me by the Custodian or Trustee and that all decisions regarding this withdrawal are my own. I expressly assume the full responsibility of determining the taxable amount of this distribution and for any adverse consequences which may arise from this withdrawal. I agree that the Custodian or Trustee shall in no way be responsible for those consequences.

**X** \_\_\_\_\_  
 Responsible Individual's Signature Date

**X** \_\_\_\_\_  
 Authorized Signature - Custodian or Trustee Date